





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/050,597

01/15/2002

Munehiro Azami

07977-290001/US5432

Date Mailed: 02/08/2002

CONFIRMATION NO. 4657 FORMALITIES LETTER

SCOTT C. HARRIS Fish & Richardson P.C. Suite 500 4350 La Jolla Village Drive

San Diego, CA 92122

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2040.
 - \$864 for 48 total claims over 20.
 - \$1176 for 14 independent claims over 3.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2910.

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opy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



07977-290001/US5432





THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yamazaki, et al.

Art Unit: Unknown

Serial No.: 10/050,597

Examiner:

Unknown

Filed

: January 15, 2002

Title ·

: LIGHT EMITTING DEVICE

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed February 8, 2002 (a copy of which is enclosed), applicant as a large entity submits herewith the following:

- Payment of the basic filing fee of \$740; \boxtimes
- X Payment of the additional/multiple dependent claims fees of \$2040;
- |X|Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- X A check in the total amount of \$2910 is attached; and
- $|\mathbf{x}|$ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

March 27, 2002

Date of Deposit

Signature

Norman Green

Typed or Printed Name of Person Signing Certificate

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 7

Soott C. Harris Reg. No. 32,030

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